Comparisons of Soil Moisture Datasets over the Tibetan Plateau and Application to the Simulation of Asia Summer Monsoon Onset

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ABSTRACT

The influence of soil moisture on Asian monsoon simulation/prediction was less studied, partly due to a lack of available and reliable soil moisture datasets. In this study, we firstly compare several soil moisture datasets over the Tibetan Plateau, and find that the remote sensing products from the Advanced Microwave Scanning Radiometer for Earth Observing System (AMSR-E) can capture realistic temporal variations of soil moisture better than the two reanalyses (NCEP and ECMWF) during the pre-monsoon seasons. Using the AMSR-E soil moisture product, we investigate the impacts of soil moisture over the Tibetan Plateau on Asian summer monsoon onset based on a Spectral Atmospheric Model developed at IAP/LASG (SAMIL). Comparison between results with and without the assimilation of remotely sensed soil moisture data demonstrates that with soil moisture assimilated into SAMIL, the land-sea thermal contrast during pre-monsoon season is more realistic. Accordingly, the simulation of summer monsoon onset dates over both the Bay of Bengal and South China Sea regions are more accurate with AMSR-E soil moisture assimilated. This study reveals that the application of the soil moisture remote sensing products in a numerical model could potentially improve prediction of the Asian summer monsoon onset.

Key words: soil moisture, remote sensing, AMSR-E and ASM onset


1. Introduction

Soil moisture plays an important role in land-atmosphere interactions. Numerical results derived from both a simple general circulation model (Yeh et al., 1984) and Global Land Atmosphere Coupling Experiments (Koster et al., 2004) suggest that strong land-atmosphere coupling can be modified by soil moisture, and its anomalies can persist for months.

Currently, the shortage of reliable soil moisture datasets has become a major obstacle to studying the impact of soil moisture on weather/climate variability. The Project for Inter-comparison of Land-surface Parameterization Schemes and Global Soil Wetness Project has suggested that no model is able to give a perfect simulation for the temporal and spatial variations of soil moisture (Ma et al., 2001). Since the brightness temperature can vary noticeably with the presence of soil moisture, remote sensing has been an state-of-the-art technique to obtain a large-scale temporal and spatial characterization of soil moisture fields. But current satellite remote sensing data products have uncertainties due to imperfect instrument calibration and inversion algorithms, geophysical noise, representation error, communication break-downs, and other sources. Therefore, it is essential to
validate remotely sensed soil moisture data sources to confirm their accuracy.

Previous observational studies have exhibited the impacts of the Tibetan Plateau (TP) thermal forcing on the Asian summer monsoon (ASM) onset (Wu and Zhang, 1998; Ye and Wu, 1998; Liang et al., 2006). Generally speaking, there has been an increase of sensible heat flux and changes in the temperature-pressure fields over the TP during the ASM onset period until the monsoon reaches the TP region. Meanwhile, it is also due to the thermal and dynamic forces of the TP that the Bay of Bengal (BOB) is the region where the ASM onset generally first begins (Nitta, 1983; Luo and Yanai, 1984; Wu and Zhang, 1998; Ye and Wu, 1998; Mao et al., 2002). Since soil moisture variations lead to increases/decreases of the near surface sensible and latent heat fluxes (namely, by influencing the land surface thermal forcing), this will affect the ASM onset. In addition, the anomaly of the land surface thermal forcing can also persist for months because of the soil moisture’s seasonal “memory” (Yeh et al., 1984; Koster et al., 2004). Consequently, predictions for the ASM onset may potentially be improved if the effects of the soil moisture are considered.

This study aims to deal with the following two issues: one is to compare soil moisture products over the TP; the other is to apply these datasets to investigate the impacts of the TP soil moisture on the simulation of the ASM onset. The remote sensing products used here are retrieved from the Advanced Microwave Scanning Radiometer-Earth Observing System (AMSR-E). Nevertheless, AMSR-E remote sensing products have been shown to be disturbed greatly by cell phone signals in most regions with large population. However, due to the unique surface conditions of the TP and lesser influences from cell phone signals, the TP is considered to be an idealized region to retrieve reliable remote sensing products. The atmospheric model used in this study is the Spectral Atmosphere Model of IAP/LASG (SAMIL) (Wu et al., 1996; Wang et al., 2005; Bao et al., 2006), which is an atmospheric component of the FGOALS-s climate system model (Zhou et al., 2007). In the second section, we compare the remote sensing products of soil moisture and two reanalyses with in situ ground observations over the TP. An introduction to the SAMIL model and the behavior of the ASM onset in SAMIL are given in the third section. The numerical experiments for ASM onset are carried out and the relevant physical mechanism is discussed in the fourth section. Finally, a discussion and summary are contained in the last two sections.

2. Datasets and comparisons

2.1 Description of datasets

The remote sensing products used in the study are retrieved from AMSR-E on board the AQUA satellite launched in 2002. Generally, microwave brightness at lower frequencies is more sensitive to the near surface soil moisture. The instrument differs from earlier global remote sensing products of soil moisture retrieved from the Special Sensor Microwave/Imager (SSM/I) at frequencies of 19 and 37 GHz. Instead, the AMSR-E products are retrieved from the low frequency microwave band at 6 and 10 GHz. The AMSR-E products used in this study are from National Snow and Ice Data Center. The products are generated on a fixed grid with a relatively high horizontal resolution (25-km nominal grid spacing), and a temporal resolution of twice daily, because of the ascending and descending orbital tracks (Njoku et al., 2003).

Two suites of reanalysis datasets, including the National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis 1 with a daily interval (Kalnay et al., 1996) and the European Center for Medium range Weather Forecasting (ECMWF) product with a 6-hour interval (Uppala et al., 2006) are used for the cross-comparison of AMSR-E. The spatial resolution is 2.5° x 2.5° for NCEP and 1° x 1° for ECMWF, respectively.

In situ ground observations of soil moisture are employed as a measure to qualitatively evaluate the AMSR-E products and the reanalyses, and are derived from CEOP (Coordinated Enhanced Observ-

<table>
<thead>
<tr>
<th>Site</th>
<th>Lon, Lat</th>
<th>Elevation (m)</th>
<th>Description of location</th>
<th>Vegetation status</th>
<th>Soil composition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gērē</td>
<td>32°30’N, 84°05’E</td>
<td>4420</td>
<td>Gērē weather station</td>
<td>Sparse</td>
<td>Silver sand soil, mainly made up of sand and stone.</td>
</tr>
<tr>
<td>D66</td>
<td>35°31’N, 93°47’E</td>
<td>4530</td>
<td>It is located in foreside of ice water proluvial fan before Kunlun Mountains, Close to the 66 crossing on Tibetan Road</td>
<td>Medium</td>
<td>Soil is made up of even gravel, which of largest diameter 15–20 cm. Groundwater is 2.30 m under the ground.</td>
</tr>
</tbody>
</table>
ing Period) Asia-Australia monsoon project (CAMP) data (Yang et al., 2003). Since the in situ ground datasets are very sparse over the TP, here we select two CEOP/CAMP-Tibet sites, D66 and Gérzê, as benchmarks for the comparisons of soil moisture products. Due to a malfunction of instrument of D66, the in situ ground observations there are only available after September 2003. Because of the limitations of the remote sensing inversion algorithm, AMSR-E soil moisture is not retrievable where significant fractions of snow cover, frozen ground, dense vegetation, precipitation, open water, or mountainous terrain appear within the sensor footprint (as determined by a classification algorithm and ancillary information) (Njoku et al., 2003). Table 1 shows the description of the selected site conditions. Gérzê is located to the west of the TP in a semidesert region and D66 is to the north of the TP in a tundra meadow region. Therefore, both sites are suitable for the validation of the AMSR-E soil moisture.

2.2 Comparisons

To unify the comparisons, both the reanalysis datasets and the AMSR-E remote sensing products are interpolated onto the same grid as the ground measurements using bilinear interpolation. Figure 1 shows time series for the four sources of soil moisture data in D66 and Gérzê from 1 January 2003 to 31 December 2003. Compared with the CAMP/Tibet ground observations, both ECMWF and NCEP reanalyses evidently overestimated soil moisture at both sites during the whole year. It is found that AMSR-E gives the best result during the pre-monsoon season (from 1 January to 30 April), while it underestimates soil moisture during the summer (from June to September). In addition, the AMSR-E soil moisture product can capture the small temporal variation realistically but the reanalyses cannot. Figure 2 shows the spatial pattern of the soil moisture over the TP during the

Fig. 1. Time series of soil moisture at (a) D66 and (b) Gérzê in 2003, with four different datasets including CEOP/CAMP-Tibet in situ ground measurements (red solid line), AMSR-E product (blue solid line), ECMWF reanalysis (green dash line), and NCEP reanalysis (black dash line). Units of soil moisture are $10^2$ m$^3$ m$^{-3}$.

Fig. 2. The spatial pattern of the soil moisture over the TP regions in the pre-monsoon season (averaged from 1 January 2003 to 30 April 2003). The upper panel is from the AMSR-E product, the middle panel is from the ECMWF reanalysis, and the bottom panel is from the NCEP reanalysis. Units of soil moisture are $10^2$ m$^3$ m$^{-3}$. The dashed lines in each pattern indicate the dry-wet contrast between the northwest and southeast parts of the TP region.
pre-monsoon season (from 1 January 2003 to 30 April 2003), and the results indicate that AMSR-E remote sensing product can reasonably identify a dry-wet contrast between the northwest and southeast parts of the TP (Kaiho and Koike, 2005) which is more robust than the reanalyses. The better performance of AMSR-E soil moisture during the pre-monsoon season should improve the ASM onset simulation after such data is introduced in a numerical simulation.

3. Application to the simulation of ASM onset

3.1 Description of SAMIL AGCM

SAMIL is a spectral transform model with 26 atmospheric layers extending from the surface to 21.9 hPa, and its spatial resolution is a rhomboidal truncation with maximum wavenumber 42 (approximately 2.81° lat x 1.66° Int). SAMIL, as an atmospheric component of the climate system model FGOALSs developed in LASC/IAP, is driven by the 5th generation of NCAR Coupler. Thereby, as one part of this coupler, NCAR CLM2 is used as a land surface component (Dai et al., 2003; Bao et al., 2006). The radiation scheme is the Slingo-Edwards parameterization (Edwards and Slingo, 1996). A modified version of the Tiedtke mass flux cumulus parameterization is utilized for deep, shallow, and midlevel convection (Tiedtke, 1989).

3.2 Climatological ASM onset in SAMIL

A 22-year AMIP type experiment was carried out with SAMIL. The model is integrated from 1978 to 1999, forced by real-time optimum interpolation SST (Reynolds and Marsico, 1993). The simulation of the ASM onset is evaluated by the observational results, which are derived from NCEP, the Climate Prediction Centers Merged Analysis of Precipitation (CMAP) (Xie and Arkin, 1997), and the Global Precipitation Climatology Project (GPCP) (Xie et al., 2003).

Previous studies have demonstrated that the onset of the Asian monsoon consists of three major stages, in turn including the onsets in the BOB, South China Sea (SCS), and South Asian Monsoon (SAM) regions (Wu and Zhang, 1998; Ye and Wu, 1998; Liu et al., 2002; Wang and Fan, 1999). Monsoon onset has been defined by different indices in the previous studies (e.g., Tanaka, 1992; Lau and Yang, 1997; Webster et al., 1998; Wang and Fan, 1999; Fasullo and Webster, 2003; Wang et al., 2004) and there is no agreement regarding the definitions of observed climatological monsoon onset date. Therefore, we apply both circulation and precipitation indices to evaluate model results. On the one hand, the lower level zonal flow (the 850 hPa horizontal winds, hereafter “U850”) is selected as one typical circulation index to depict the ASM onset, which is simple yet effective (Wang et al., 2004). Accordingly, the monsoon onset indices over BOB and SCS are respectively the mean U850 averaged within (5°-15°N, 90°-100°E) and (10°-20°N, 110°-120°E), while the index over SAM is the difference between (5°-15°N, 40°-80°E) and (20°-30°N, 70°-90°E) (Wang and Fan, 1999). The climatological ASM onset date revealed by these indices is manifested in Fig. 3. Compared to the observations, the climatological onset date of the ASM in these three key regions can be well captured in simulation, although onset is about 5 days early over the SCS.

On the other hand, precipitation is another typical index to describe ASM onset (Ananthakrishnan and
Soman, 1988; Wang and LinHo, 2002; Zhang et al., 2002). We selected the latitude-time distributions of zonal mean precipitation in these three key regions as another measure to validate the monsoon onset, which is shown in Fig. 4. The ASM onset is characterized by an abrupt increase in precipitation. As illustrated in Fig. 4, this feature can be easily identified in the model output over the three key areas and the simulated onset date is consistent with CMAP and GPCC (red lines in Fig. 4). However, the amplitude of precipitation is overestimated compared with the observations.

3.3 The methods of data assimilation and numerical simulation

There will be soil moisture drift or overflow in a model if remote sensing products are introduced into the model directly. It is necessary, therefore, to apply remote sensing products using data assimilation. In this study, a nudging scheme is used to introduce the thermal conditions over the TP. It has been shown that nudging is an effective scheme to control soil moisture drift on the seasonal scale (Viterbo, 1996), and it is a flexible algorithm, which can lead to improvements in the performance of the model but with only a small increase in the amount of computation (Pancioli et al., 2003).

Two numerical experiments are carried out with SAMIL AGCM: a sensitivity run with nudging and a control run. We continue to integrate SAMIL AGCM after the 22-year AMIP type run, which has been documented in the section 3.2, but the model is forced with real-time weekly SST from 1 January 2000 to 31 December 2002 as a spin-up process. The second stage of the experiments continues following the spin-up, from 1 January 2003 onward. Both sensitivity and control experiments of the ASM onset are carried out by integrating the model from 1 January 2003 to 31 December 2003. In the “Nudging” case, a Newtonian nudging scheme is applied to assimilate AMSR-E soil moisture over the TP during the first 4 months (from January to April) of the pre-monsoon seasons. The TP region is defined as a rectangle bounded by 27°–37.5°N and 75°–102°E. Since there is around 2 hours local time difference across the TP region zonally, 2 hours is selected as the length of the assimilation window. The relaxation coefficient is set to 1/6 because 20 minutes
has been selected as the length of each time step in CLM. The nudging algorithm is used twice per day according to the temporal resolution of the AMSR-E products. Both “Nudging” and “Ctrl” cases are forced with real-time weekly SST as during spin-up and are integrated via parallel mode.

3.4 The simulation of ASM onset

Figure 5 shows the differences, averaged over the TP, between the “Nudging” and “Ctrl” cases, which illustrates the effects of AMSR-E soil moisture over the TP. The differences of the surface energy budget are remarkable. Since the model has a dry bias in the “Ctrl” case, the “Nudging” case, which assimilates the more realistic AMSR-E moisture data, is wetter than the “Ctrl” case during the period of nudging. Increasing the soil liquid water (Fig. 5c) leads to enhanced ground evaporation (Fig. 5a), and enhances atmospheric specific humidity (Fig. 5a), but reduces the sensible heat flux (Fig. 5d) in the TP region. In addition, the wet-surface condition also leads to a cool ground temperature (Fig. 5b). These effects are consistent with previous numerical studies (Shen et al., 1998; Qian et al., 2003; Bao et al., 2006). To some extent, the consistency among atmosphere-land variables/fluxes in the model verifies the usability of the nudging procedure.

Figure 6 presents both the circulation indices and zonal averaged precipitation of the “Nudging” and “Ctrl” experiments in these three key regions, and the red dashed lines highlight the onset date derived from the observation. In the “Ctrl” run (without nudging by AMSR-E soil moisture), there is an event of abrupt westerlies associated with the rapid increase of precipitation in the 20th pentad of 2003 (which is the second pentad of April 2003, shortened here as “P20”) over the BOB, which indicates the onset of the BOB monsoon, followed by a 30-day dry phase. Estimated in the same way, the onset of the monsoon is P21 (third pentad of April) over SCS and P29 (fifth pentad of May) over SAM. As in the observation, however, the onset of monsoon is P25 (first pentad of May) over BOB, P27 (third pentad of May) over SCS, and P32 (second pentad of June), which is consistent with the onset date derived from datasets of the Arabian Sea Monsoon Experiment-II (Singh et al., 2007). In that study, they concluded that SAM onset is around 7 June in 2003). In the “Ctrl” case, the early onsets of the BOB monsoon and the subsequent SCS monsoon are mainly due to the unrealistic simulation of a wet event during early April, which accompanies an abrupt event featured with strengthened westerlies in the lower troposphere.

In the “Nudging” run (with nudging toward
ASMR-E soil moisture values], there is a distinct improvement in terms of the simulated ASM onset over the BOB and SCS regions. Both circulation indices and zonal mean precipitation in the “Nudging” case indicate that the simulated onset dates of the monsoon are P25 over BOB and P27 over SCS respectively, which are consistent with the observed onset pentads (highlighted with red dashed lines). This also fails, however, to simulate the onset of SAM precisely. Compared with the observations, the phasing is two pentads ahead for SAM onset, and the simulated onset date of SAM is P29. Namely, after assimilating AMSR-E remote sensing products of soil moisture, the capabilities of simulating ASM onset have been proved over the BOB and SCS regions, but are unnoticeable over the SAM region.

How does the TP soil moisture influence the ASM onset? As is well known, the modulation of the landsea thermal contrast caused by the variation of solar zenith angle is a fundamental reason for the formation of the ASM. Considering the employment of real-time SST, the realistic thermal effect of land will give a reasonable thermal contrast. Previous studies (e.g., Pitman, 2003) show that increasing the soil moisture could enlarge the latent heat flux and reduce sensible heat flux, with the surface becoming cooler. These consequences do occur in the “Nudging” run. As shown in Figs. 5 and 7, after nudging the model...
toward the ASMR-E soil moisture, the soil liquid water increases, and the positive anomaly can extend for the next four months. Then the sensible heat flux and surface temperature decrease ahead of the onset of monsoon. Due to the Rossby responses to the negative boundary heating anomalies over the TP, there is a large continental thermal cyclonic circulation in the lower troposphere over the East Asian region, which persists from March to April (Fig. 8). Over the BOB and SCS regions, there are easterly anomalies prevailing as the south part of the lower level continental low. Therefore, the onset of monsoon over the BOB and SCS regions becomes late, namely, the onset time becomes more realistic compared with the analysis from the observations. On the other hand, the thermal characteristics of the Tibetan Plateau and its neighboring regions are changed due to the inclusion of AMSR-E soil moisture (Figs. 5 and 7). The onset of the BOB monsoon is directly linked to the thermal as well as mechanical forcing of the Tibetan Plateau. In detail, as illustrated in Fig. 7, the sensible heat flux in the “Nudging” case is reduced in early spring (March and April) over the TP regions with an amplitude of $-30 \text{ W m}^{-2}$ in April. During the pre-monsoon season, thereby, the unrealistic strong thermal effects of the TP in the “Ctrl” run are evidently reduced in the “Nudging” run. Then, the thermal ridge in the lower troposphere subsequently turns around slowly compared with the result from “Ctrl” (not shown here, but this can be estimated from the difference of surface temperature). Therefore, as illustrated in Fig. 6a, the virtual perturbation of the circulation index disappears and zonal averaged precipitation can match the observations very well in the “Nudging” run. The
latent heating of the monsoon rainfall over BOB is favorable for westerlies over SCS through the asymmetrical Rossby response (Liu et al., 2002). Thus, the simulation of the ASM onset in SCS is more realistic due to the improvement of the simulation of westerly flow. However, both numerical experiments have failed to reproduce the onset event in SAM, which indicates the relationship between the thermal condition of the TP and ASM onset over the SCS regions is not the same as for BOB and SCS. These results are consistent with the evidence found in observational data reanalysis (Mao et al., 2002).

4. Discussion

In order to predict the ASM onset well by including the remote sensing products, there are still several obstacles we have to deal with. Firstly, different data assimilation methods may lead to quite different effects on the simulated results. As shown in Fig. 5c, the influence on the ground evaporation increases from January to April, but it sharply drops to around zero once nudging is stopped. The same phenomena can also be found for the ground temperature (Fig. 5b), which suggests that the data assimilation method for soil moisture should be improved so that the strength of the “initial shock” after assimilation can be reduced. Douville et al. (2000) studied the sensitivity of initial methods to soil moisture in ECMWF, and it was shown that nudging is very sensitive to model biases and sometimes produces unrealistic results but the optimum interpolation technique is more robust and reliable. Furthermore, Walker (1999) investigated three other data assimilation methods for soil moisture, including the Dirichlet boundary condition, hard-updating, and Kalman filtering (KF) assimilation schemes. They concluded that the KF method is the best. However, KF is too computationally demanding for use with model forecasting. Thereby, an economic and efficient method should be considered to assimilate remote sensing products so that numerical simulation or prediction can be improved in the future.

The uncertainty from the model physics package is another issue of more concern. Current models do not give a perfect simulation of the ASM (Kripalani et al., 2007), which is also true for SAMIL. There is a dry bias climatologically over the TP regions during boreal summer (Bao et al., 2006), and this may weaken the response of latent heating in the middle and upper troposphere to surface thermal anomalies. Therefore,
the upper-level responses are not as strong as those in the lower troposphere (figures not shown here).

The quality of remote sensing products also plays a key role in this numerical study. Nevertheless, our evaluation over the TP is still preliminary. In the future, more CAMP sites should also be used to make a deeper investigation of the quality of the AMSR-E remote sensing products. On the other hand, while the AMSR-E product of soil moisture possesses a reasonable large-scale temporal and spatial characterization, there is substantial room for improvement of the quality of the AMSR-E product, especially during the boreal summer. This would enhance the needs for short time scale prediction. Fortunately, another suite of products retrieved from AMSR-E will be available soon, which have been processed by scientists at University of Tokyo, and the performance of these products has been tested by CMAP-Tibet with in situ observations (Yang et al., 2007) and data from the CEOP Mongolian soil moisture network (Yang et al., 2009). The latter shows a scale-matched validation of soil moisture retrieved from AMSR-E with the data assimilation system at the University of Tokyo.

It has been demonstrated that soil moisture data leads to a notable improvement in modeling of the surface energy budget. To some extent, the improvements of simulation and prediction of ASM onset may benefit from the application of both advanced data assimilation methods and high quality remote sensing products.

5. Summary

This paper explores the influence of the TP soil moisture on the simulation of the ASM onset through AGCM experiments. The comparisons of soil moisture products over the TP indicates that AMSR-E products can reproduce well the total field of soil moisture during the pre-ASM season and can capture the temporal variation of soil moisture better than the NCEP and ECMWF reanalyses.

The numerical studies show that climatological characteristics of the ASM onset can be reproduced well in three key regions by SAMIL, and the onset dates simulated in SAMIL are consistent with the results derived from the reanalyses. Then, a nudging-based data assimilation method was used to study the effects of the AMSR-E soil moisture over the TP. The results indicate that reasonable soil moisture could improve the model’s performance for the land surface fluxes, so that the land-sea thermal contrast becomes more reliable. Consequently, the incorrect prediction of a wet event accompanying the abrupt westerly shift over the BOB in early April does not occur, and instead precipitation features which advance northwards match the observations very well over the SCS region. Therefore, the simulation of the summer monsoon onset over both BOB and SCS regions is obviously improved by including AMSR-E remote sensing products of soil moisture. This study precusorily indicates that the application of the soil moisture remote sensing products in a numerical modeling setting could potentially improve prediction capabilities of the Asian summer monsoon onset.

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